Information Disclosure Statement U.S. Application No: 10/623,686 Attorney Docket No. EI-7597

TRANSMITTAL

In Res Application of:

Stephen FACTOR et al.

Serial Number: 10/623,686

Filed: July 21, 2003

For: Simultaneous Reduction in NOx and Carbox in Ash from Using Manganese in Coal Burners

Attorney Dox TRANSMITTAL

Confirmation No.: 5322

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class U.S. Mail on April 27, 2004 with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Beth Earnest

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following in the subject application:

Information Disclosure Statement (no fee)
Forms PTO/SB/08A&B listing 80 references and attaching 25 references
Return Postcard (attached hereto, but not stapled)

It is believed that there are no fees associated with this filing. However, in the event the calculations are incorrect, the Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment associated with this communication to Deposit Account No. 05-1372. A duplicate copy of this sheet is attached.

Respectfully submitted,

ETHYL PETROLEUM ADDITIVES, INC.

Leah O. Robinson, Reg. No.: 44,990

330 South Fourth Street Richmond, VA 23219 Phone: 804-788-5498 FAX: 804-788-5519

Date: April 27, 2004

# Attorney Dock APR 2 9 2004 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:	)
Stèphen Factor et al.	)
Application No.: 10/623,686	) Confirmation No.: 5322
Filed: July 21, 2003	)
	)
For: Simultaneous Reduction in NOx	)
and Carbon in Ash from Using	) · · · · · · · · · · · · · · · · · · ·
Manganese in Coal Burners	)
	)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### <u>INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97</u>

Sir:

Pursuant to the duty of disclosure, Applicants submit herewith information which may be material to the examination of the above-captioned application.

Specifically, the information comprises references that have become known to Applicants and their attorneys. Those references are listed on the enclosed Form PTO/SB/08A. Copies of the foreign patents and literature documents are attached.

It is respectfully requested that these references be fully considered in their entirety, and that the references be made of record in the above-captioned application. It is also respectfully requested that the Examiner initial in the appropriate area on the enclosed Form PTO/SB/08A, thereby indicating the Examiner's consideration of each of the references.

Information Disclosure Statement U.S. Application No. 10/623,686 Attorney Docket No. EI-7597

#### **Fees**

As this Information Disclosure Statement is submitted before the date of a first Office Action on the merits, it is believed that no fees are due. However, in the event the calculations are incorrect, the Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment associated with this communication Deposit Account No. 05-1372.

#### Conclusion

It is believed that all provisions of 37 CFR 1.97 have been complied with, and consideration of these references is most respectfully requested.

Respectfully Submitted,

ETHYL PETROLEUM ADDITIVES, INC.

Leah O. Robinson, Reg. No. 44,990

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EI-7597

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Attorney Docket Number

Substitute for form 1449A/PTO Complete if Known Application Number 10/623,686 INFORMATION DISCLOSURE Filing Date July 21, 2003 STATEMENT BY APPLICANT First Named Inventor Steve A. Factor, et al. Group Art Unit 1714 (use as many sheets as necessary) Not Assigned **Examiner Name** 

			U.S. PATENT DOCU	MENTS	
Examiner Cite No.1	U.S. Patent Document  Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
		US 6,361,754 B1	Peter-Hoblyn et al.	3/26/2002	
		US 6,200,358 B1	Fleischer et al.	3/13/2001	
		US 6,193,767 B1	Arters et al.	2/27/2001	
		6,152,972	Shustorovich et al.	11/28/2000	
		6,056,792	Barr et al.	5/2/2000	
		6,051,040	Peter-Hoblyn	4/18/2000	
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		5,813,224	Rao et al.	9/29/1998	
		5,809,775	Tarabulski et al.	9/22/1998	
		5,809,774	Peter-Hoblyn et al.	9/22/1998	
		5,758,496	Rao et al.	6/2/1998	

				FOREIG	N PATENT DOCU	IMENTS		
Examiner Initials*	Cite No.1	For Office <sup>3</sup>		ment (ind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sub>ε</sub>
		EP	0 466 512	B1	Leeper	6/29/94		
		EP	0 507 510	A1	Hollrah, Don Paul et al	10/07/92		
,		EP	0 667 387	A2	Perry, Newton A. et al	8/16/1995		
		EP	0668 899	B1	Peter-Hoblyn et al	09/20/00		_

Examiner Signature	Date Considered	}

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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark hee if English language Translation is attached.

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Substitute for form 1449A/PTO

Complete if Known

Application Number

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date July 21, 2003

First Named Inventor Steve A. Factor, et al.

Group Art Unit 1714

Examiner Name Not Assigned

10/623,686

(use as many sheets as necessary)

Sheet 2 of 5 Attorney Docket Number EI-7597

			U.S. PATENT DOCU	MENTS	
		U.S. Patent Documer	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant
Examiner Initials *	Cite No. <sup>1</sup>	Number Kind Code (if known)		Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
		5,732,548	Peter-Hoblyn	3/31/1998	
		5,679,116	Cunningham et al.	10/21/1997	
		5,599,357	Leeper	2/4/1997	
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	ĺ	4,891,050	Bowers et al.	1/2/1990	
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				FOREIG	N PATENT DOCU	MENTS		
Examiner Cite No.	0.11	For	eign Patent Docu	ıment	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
		Office <sup>3</sup>		Kind Code <sup>5</sup> ( <i>if known</i> )	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		EP	1 368 444	A1	Openshaw et al.	12-10-2003		
		wo	02/48293	A1	Roos et al.	06-20-2002		

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Substitut	e for form 1449A/PT(	)		Complete if Known			
				Application Number	10/623,686		
			CLOSURE	Filing Date	July 21, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Steve A. Factor, et al.		
				Group Art Unit	1714		
	(use as many she	ets as	necessary)	Examiner Name	Not Assigned		
Sheet	3	of	5	Attorney Docket Number	EI-7597	J	

			U.S. PATENT DOCU	MENTS		
Examiner Cite No.1		Number Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Releva Passages or Relevant Figures Appear	
		4,474,580	MacKenzie et al.	10/2/1984		
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				FOREIG	N PATENT DOCU	IMENTS		
	Cita	For	eign Patent D	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
	Cite No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> ( <i>if known</i> )	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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Substitute f	or form 1449A/PTC	)			Complete if Known	1
INITO	ORA A TION	DIC	CLOCURE	Application Number	10/623,686	
			CLOSURE	Filing Date	July 21, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Steve A. Factor, et al.	
				Group Art Unit	1714	
(use as many sheets as necessary)			necessary)	Examiner Name	Not Assigned	
Sheet	4	of	5	Attorney Docket Number	El-7597	J

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Faix, Louis J.; A study if the Effects of Manganese Fuel Additive on Automotive Emissions; SAE [Tech. Pap.], 780002, pp. 1-12	
		Fekete, Nicholas; Gruden, Igor; Voigtlander, Dirk; Nester, Ulrich; Krutzsch, Bernd; Willand, Jurgen; and Kuhn, Michael; Advanced Engine Control and Exhaust Gas Aftertreatment of a Leanburn SI Engine; SAE [Tech. Pap] 972873; pp. 1-10	
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		Eastwood, Peter; Critical Topics in Exhaust Gas Aftertreatment; Research Studies Press Ltd. (2000), pp. 215-218	
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		Jelles, S.J.; Makkee, M.; Moulijn, J.A.; Acres, G.J.K.; and Peter-Hoblyn, J.D., Diesel Particulate Control. Application of an Activated Particulate Trap in Combination with Fuel Additives at an Ultra Low Dose Rate; SAE [Tech. Pap.], 1999-01-0113, pp. 1-6	
		Eolys™ Fuel-Borne Catalyst for Diesel Particulates Abatement: A Key Component of an Integrated System, DieselNet Technical Report, Sept. 1999, pp.1-9.	
		Guyon, M.; Blejean, F.; Bert, C.; LeFaou, PH.; Impact of Sulfur on Nox Trap Catalyst Activity-Study of the Regeneration Conditions; SAE [Tech. Pap.] 982607, pp. 87-95	

Examiner	Date	)
Signature	Considered	

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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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		Guinther, Greg H.; Human, David M.; Miller, Keith T.; Roos, Joseph W.; and Schwab, Scott D.; The Role that Methylcyclopentadienyl Manganese Tricarbonyl (MMT®) Can Play in Improving Low-Temperature Performance of Diesel Particulate Traps; SAE [Tech. Pap.], 2002-01-2728, pp. 1-9			
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-		Stultz, S.C., Kitto, J.B., Editors; "NOx Formation conditions"; Babcock & Wilcox, a McDermott Company: Steam, its generation and use. 40th ed., p. 34-4 to 34-9. 1992.			
		MILLER, R. et al., "A Super-Extended Zel'dovich Mechanism for NOx modeling and Engine Calibration," SAE Technical Paper 980781, 1998.			

Examiner	Date	
Signature	Considered	

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